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Audit and Procurement Committee

21 January 2019

**Name of Cabinet Member:**

Cabinet Member for Strategic Finance and Resources – Councillor J Mutton

**Director Approving Submission of the report:**

Deputy Chief Executive (Place)

**Ward(s) affected:**

City Wide

**Title:**

Corporate Risk Register

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**Is this a key decision?**

**No** – Although the Corporate Risk Register covers the whole of the City, the impact will not significantly affect communities

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**Executive Summary:**

In accordance with the Council's Risk Management Strategy this report sets out the current Corporate Risk Register to provide the Audit and Procurement Committee with an overview of the Council's corporate risk profile and the controls in place to address these risks.

**Recommendations:**

The Audit and Procurement Committee are requested to:-

1. Note the current Corporate Risk Register, indicating that they have satisfied themselves that Corporate Risks are being identified and managed.
2. Identify any areas where they require additional information (if any).

**List of Appendices included:**

Appendix One – Corporate Risk Register

**Other useful background papers:**

None

**Has it been or will it be considered by Scrutiny?**

No

**Has it been or will it be considered by any other Council Committee, Advisory Panel or other body?**

No

**Will this report go to Council?**

No

**Report title:** Corporate Risk Register

**1. Context (or background)**

- 1.1 Local Government is currently operating within an environment of substantial budget cuts and major policy changes with significant impact on service delivery and organisational structures. The pace and scale of change requires the Council to constantly assess its risk profile and implement suitable controls to manage those risks.
- 1.2 There is a requirement within the Council's Risk Management Policy and Strategy that the Audit and Procurement Committee receive and consider reports on the Corporate Risk Register in order to discharge their responsibilities in respect of risk management:

*Audit and Procurement Committee – 'to monitor the effective development and operation of risk management within the Council'.*

- 1.3 This report provides an update on the Corporate Risk Register in compliance with the Risk Management Strategy.

**2. Options considered and recommended proposal**

- 2.1 It should be noted that this report covers only those risks that are viewed as the most critical for the Council and are considered at the corporate level. Risk management activity continues at other levels throughout the Council dealing with risks of a lower rating.
- 2.2 The Corporate Risks listed in Appendix 1 can be considered as falling into two separate categories:

*Operational/ Business as Usual* – those risks that could affect the underlying and fundamental operations and structure of the Council

- CR 001 – Finance:
- CR 006 – Adult Social Care
- CR 007 – Safeguarding/Protecting Vulnerable Adults, Children and Families
- CR 013 – Creation of a Combined Authority for the West Midlands and agreement of a Devolution Deal
- CR 014 – Information Governance
- CR 016 – Failure to comply with Health and Safety Legislation

*Specific/Project* – those risks that could affect specific projects or the major change initiatives to how we operate

- CR 002 – Sky Blue Sports and Leisure
- CR 003 – ICT Infrastructure and Change
- CR 005 – Workforce Strategy
- CR 011 – Friargate Business District
- CR 017 – City Centre South
- CR 018 – Coventry Station Masterplan`

- 2.3 The Corporate Risks and the control measures in place to address them are more fully described in Appendix 1. Audit and Procurement Committee are asked to review the content of the register and satisfy themselves that the process is operating effectively within the Council as required under the Risk Management Strategy.

2.4 There are some changes to the Risk Register from the previous report to Members.

Risks removed:

CR 010 – Kickstart – Move to Friargate

CR 015 – Historic Abuse

Risks added:

CR 018 – Coventry Station Masterplan

### **3. Results of consultation undertaken**

None

### **4. Timetable for implementing this decision**

There is no implementation timetable, this is a monitoring report.

### **5. Comments from Director of Finance and Corporate Resources**

#### **5.1 Financial implications**

There are no specific financial implications associated with this report although management of the risks included is essential from a financial and operational perspective. This will enable the Council to minimise any detrimental financial outcomes arising from the risk areas and help to ensure that resources are directed towards the Council's key priorities.

#### **5.2 Legal implications**

The maintenance and review of the Corporate Risk Register ensures that the Council meets its statutory obligation under the Accounts and Audit Regulations 2015 to have appropriate measures in place to ensure that risk is appropriately managed

### **6. Other implications**

#### **6.1 How will this contribute to the Council Plan ([www.coventry.gov.uk/councilplan/](http://www.coventry.gov.uk/councilplan/))**

Effective risk management arrangements are an integral component of strategic decision making, service planning and delivery, increasing the likelihood of achieving Corporate aims and objectives.

#### **6.2 How is risk being managed?**

The Council has a policy and framework to support risk management arrangements across the organisation as part of its overarching Governance processes. This report forms part of that practice.

#### **6.3 What is the impact on the organisation?**

Effective Risk Management arrangements lead to improved decision making and operational practices across all areas of the organisation.

#### **6.4 Equalities / EIA**

None

## 6.5 Implications for (or impact on) the environment

No impact

## 6.6 Implications for partner organisations?

None

### Report author(s):

#### Name and job title:

David Johnston - Insurance Manager

#### Directorate:

Resources

#### Tel and email contact:

02476 833867 – [david.johnston@coventry.gov.uk](mailto:david.johnston@coventry.gov.uk)

Enquiries should be directed to the above person.

Contributor/approver name	Title	Directorate or organisation	Date doc sent out	Date response received or approved
<b>Contributors:</b>				
Lara Knight	Governance Services Officer	Place	08/1/19	08/1/19
<b>Names of approvers for submission: (officers and members)</b>				
Finance: Paul Jennings	Finance Manager	Place	06/12/18	18/12/18
Legal: Julie Newman	Monitoring Officer	Place	06/12/18	07/12/18
Director: Barry Hastie	Director of Finance and Corporate Services	Place	06/12/18	03/01/18
Members: Councillor J Mutton	Cabinet Member for Strategic Finance and Resources		04/01/19	07/01/19

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